## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Burcau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: A61M 29/00

(11) International Publication Number:

(43) International Publication Date:

WO 98/34669

13 August 1998 (13.08.98)

(21) International Application Number:

PCT/US98/02222

A1

(22) International Filing Date:

9 February 1998 (09.02.98)

(30) Priority Data:

08/797,743

7 February 1997 (07.02.97)

US

(71) Applicant: ENSOVASC LTD., INC. [US/US]; Suite 108, 15001 Walden Road, Montgomery, TX 77356 (US).

(72) Inventors: SUMMERS, David, P.; 3158 Canterbury Lane, Montgomery, TX 77356 (US). SEE, Jackie, R.; 2519 Emerald Avenue, Las Vegas, NV 89120 (US).

(74) Agent: NICHOLS, Nick, A., Jr.; Nick A. Nichols Jr., P.C., P.O. Box 16399, Sugar Land, TX 77496-6399 (US).

(81) Designated States: JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

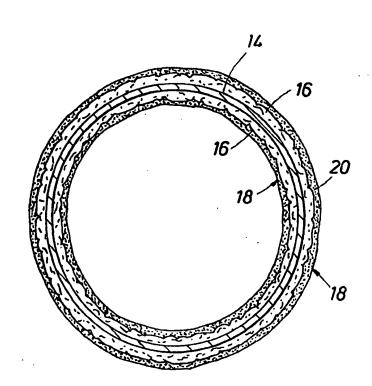
Published

With international search report.

(54) Title: COMPOSITION AND METHOD FOR MAKING A BIODEGRADABLE DRUG DELIVERY STENT

#### (57) Abstract

A stent or vascular graft (10) for supporting a blood vessel or organ lumen is coated with a biodegradable, resorbable and hemocompatable surface substrate (16). Biologically active microspheres (20) which controllably release the biologically active agent into the vessel wall or organ (12) to inhibit restenosis of the stent (10) is embedded in the stent substrate (16). The biologically active microspheres (20) include encapsulated PGE1 in a water soluble polyethylene glycol mix (18), which over a period of time dissolves and releases the PGE1 into the vessel wall or organ (12).



## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM Armenia FI Finland LT Lithuania SK Slovania AT Austria FR France LU Luxemburg SN Senegal AU Australia GA Gabon LV Latvia SZ Swaziland AZ Azerbaijan GB Unized Kingdom MC Monaco TD Chad BA Bosnia and Herzegovina GE Georgia MD Republic of Moklova TG Togo BB Barbados GH Ghana MG Madagascar TJ Tajikistan BF Burkina Faso GR Ortece MK The former Yugoslav TM Turkmenistan BF Burkina Faso GR Ortece Republic of Macedonia TR Turkey BJ Benin IE Ireland MN Mongolia TT Trinidad and Tobago BR Brazil II Israel MR Mauritania UG Uganda CA Canada IT Italy MX Mexico UZ Uzbekistan CF Central African Republic JP Japan NE Niger VN Viet Nam CG Congo KE Kenya NL Netherlands YU Yugoslavia CI Côte d'Ivoire KP Democratic People's NZ New Zealand CN Cameroon Republic of Korea PL Poland CCZ Czech Republic LC Saint Lucia RU Russian Federation DK Denmark LK Si Lahka SE Sweden	AT	A 75 *-				_		II amount amount
SG Singapore	AT AU AZ BA BB BB BF BG BJ BC CF CG CH CI CM CN CU CZ DE DK	Austria Australia Azerbaijan Bosnia and Herzegovina Barbados Belgium Burkina Faso Bulgaria Benin Brazil Belarus Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon China Cuba Czech Republic Germany Denmark	FR GA GB GB GH GN HU IE IL IS IT JP KE KG KP KR LC LI	Finland Prance Gabon United Kingdom Georgia Ghana Guinea Greece Hungary Ireland Israel Iceland Italy Japan Kenya Kyrgyzstan Democratic People's Republic of Korea Republic of Korea Republic of Korea Republic of Korea Republic of Saint Lucia Liechtenstein	LT LU LV MC MD MG MK ML MN MR MW MZ NL NO NZ PL PT RO RU SD	Lithuania Luxembourg Latvia Monaco Republic of Moldova Madagascar The former Yugoslav Republic of Macedonia Mali Mongolia Mauritania Malawi Mexico Niger Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan	SK SN SZ TD TG TJ TM TR TT UA UG US VN YU	Slovakia Senegal Swaziland Chad Togo Tajikistan Turkmenistan Turkey Trinidad and Tobago Ukraine Uganda United States of America Uzbekistan Viet Nam Yugoslavia

5

10

15

20

25

30

# COMPOSITION AND METHOD FOR MAKING A BIODEGRADABLE DRUG DELIVERY STENT

### BACKGROUND OF THE INVENTION

The present invention relates to biodegradable drug delivery stents, particularly stents coated with a biocompatible substance impregnated with antithrombocyte/antithrombin agents released over a period of time to reduce or eliminate restenosis in the blood vessel.

Percutaneous endovascular stenting was conceived in the late 1970's as a way to prevent both acute occlusion and late restenosis after catheter intervention, but initial clinical results of coronary stenting in 1987 were plagued by high (>20%) acute and subacute thrombosis and were restricted to use as "bailout" for threatened or acute vessel closure. In recent years, stent outcomes have improved progressively with better placement techniques and in 1995, an estimated 700,000 stents were implanted world-wide. Recent STRESS (Stent Restenosis Study, 1994) and BENESTENT trials (Belgium-Netherlands Stent, 1995) demonstrated that stenting of native coronary arteries is associated with greater procedural success. The trials demonstrated that fewer acute, adverse events and less angigraphic restenosis and lower rates of 8-months target vessel revasculerization occurred than in conventional balloon angioplasty. Stents are now used as primary treatment and secondary bailout.

Despite their utility, stents have been plagued by two problems, namely, acute occlusion due to thrombosis and persistent occurrence of restenosis. Recent studies show that coronary stenting results in significant platelet, polymorphonuclear leukocyte, and macrophage activation, as well as activation of the coagulation pathway which induce clots despite passivation and/or anti-coagulation treatment of the stent surface. This limitation relates to the surface exposure of adhesion receptors on activated platelets to the foreign surface of the stent, producing the aforementioned thrombogenic activity that must be countered with intense anti-coagulation regimens. Subacute stent thrombosis occurs most frequently during the first few days after implantation and almost always in the first two weeks. Thereafter, neointimal cells including proliferating smooth muscle cells from the vessel wall and endothelial hyperplastic cells encompass the stent surface and ameliate the risk of stent thrombosis.

Notwithstanding the above, vascular stents have proven to be of great therapeutic value in the treatment and prevention of complications relating to percutaneous transminal coronary angioplasty (PTCA). Mechanical problems of the vessel wall, i.e., vesssel dissection, the most

frequent cause of acute closure in about 25% of patients leading to acute myocardial infarction associated with PTCA, is virtually eliminated with stents. However, such major acute and chronic adverse events persist in more than 25% of patients. One of the most important causes is the trombogenicity of the stent itself. Despite increased biocompatability curently available, stents have less than hemocompatability and are further limited because of late incidence in virtually all stents of restenosis, potentially fatal late complications from clotting and an aggressive type of in-stent restenosis resistant to therapy. In-stent restenosis is much more difficult to treat than PTCA restenosis, frequently resulting in coronary artery bypass grafting (CABG).

5

10

15

20

25

30

In addition to the morbidity and mortality, stents are more expensive than PTCA and require longer hospitalization in order to provide anticoagulant and antispasm therapy due to the induction of thrombogenicity and spasm by the stent, a foreign object, introduced into the vascular wall. The heavy anticoagulation required can produce major bleeding events and vascular complications, often necessitating surgical intervention.

What is desired is a stent coating of antithrombolic, antispasm agents which will biodegrade over time, eluting drugs into the vessel wall to inhibit these complications and obviating systemic oral or intravenous or intraarterial drug delivery with heightened cost and side effect profile. PGE1 is the ideal antithrombolic agent and antispasmodic agent, which also has antiproliferative effects on the smooth muscle cell (SMC). In addition, PGE1 is very effective in antiplatelet activation and deposition, and produces blocking effects on leukocyte adhesion molecules through the lipoxygenase and leukotriene pathway and blocks macrophage migration and aggregation at the injury site.

Much work has been done to both passivate and/or biologically enhance the surface porperties of stents so as to reduce the need for anticoagulants and the like. For example, Bolz, et al., described a process for coating stents with a semi-conductor (Bolz, A., et al, Coating of Cardiovascular Stents with a Semi-Conductor to Improve Their Hemocompatability, Tex. Heart Inst. Jour. 1996;23:162-6) which provided electrical passivation of the surface charge of stents thereby neutralizing the attraction of coagulating proteins. Other investigations have grafted both active and neutral substances to stents, such as hirudin or neutral collagen, in attempts to ameliate coagulation. (Prietzel, K. et al. Inhibition of Neointimal Proliferation with a Novel Hirudin/Prostacyclin Analog Eluting Stent Coating in an Animal Overstrech Model, Abstract,

Circulation, Supplement 1, Vol. 94, No. 8, Oct. 15, 1996, p. 1-260); U.S. Patent No. 5,342,387, Summers, <u>Artificial Support for a Blood Vessel</u>. These coatings have proven less than successful in ameliating the total problem. Two factors, cellular proliferation within the stent lumen itself and late vessel wall remolding, remain unsolved.

5

10

15

20

25

30

Restenosis within and around the stent is a process of chronic new endothelial and medial cellular growth, and remolding of the vessel after intervention which usually occurs by the third month postintervention. Restenosis is a continuum of extracellular matrix rebuilding after stretching, which continues from the time of PTCA, peaking at three months and unusually terminating after six months. Although percutaneous delivery of stents has been shown to sightly reduce the frequency of restenosis as compared to PTCA, when such lesions do occur within a stent, they have been considered to result from intimal proliferation with smooth muscle cells, the predominate cell type, and are resistant to treatment, since PTCA is generally precluded and rotational atherectomy or CABG usually required. Therefore, it is obvious that stent occlusion is a two-phase problem having an acute phase in which platelet, leukocyte, macrophage aggregation, and thrombosis is the primary concern and a chronic and late-phase problem in which intimal in-stent proliferation and vessel wall remolding is the primary concern. It is, therefore, an object of the instant invention to overcome both acute and chronic concerns with the foregoing invention.

Since most cellular interactions are protein mediated, the prevention or reduction in protein absorption to a stent would serve to prevent cellular attachment and subsequent events that may otherwise render the stent materials biocompatable but in doing so, produce the unwanted adverse effects of not coating the stent. A stent coated with a composition of both biocompatable agents and drug eluting systems such as PGEI to retard initial harmful vascular cellular and thrombosis mechanisms, while allowing normal subsequent acceptance of the stent by the vessel wall by orderly vascular cell covering with endothelial and medial cells, and compatible treatment for post-PTCA complications would be desirable.

Poly-L-lactic acid (PLLA)/Poly-caprolactone (PCL) blends of aliphatic polyester polymers have proven to be both biodegradable, resorbadle and hemocompatable. Depending on the ratio of PLLA to PCL, these coatings can provide a benign substrate that provides a microporous structure that can efficiently be impregnated with biologically active microsphere such as liposomes in the range of 20nm to 1000nm.

It is therefore an object of the invention to provide a stent having a PLLA/PCL coating substrate formed thereon and impregnating the coating substrate with biologically active microspheres.

It is a further object of the invention to provide a stent coating whereby the coating substrate is coated with a layer of PGE1-encapsulated liposomes which release PGE1 over an extended period of time.

## SUMMARY OF THE INVENTION

The present invention provides a stent coated with a biodegradable, resorbable and hemocompatable surface substrate. The substrate is impregnated with biologically active microspheres which controllably release the biologically active agent into the vessel wall to inhibit restenosis of the stent. The biologically active microspheres include encapsulated PGE1 in a water soluble polyethylene glycol mix, which over a period of time dissolves and releases the PGE1 into the vessel wall.

15

20

25

30

10

5

## BRIEF DESCRIPTION OF THE DRAWINGS

So that the manner in which the above recited features, advantages and objects of the present invention are attained can be understood in detail, a more particular description of the invention, briefly summarized above, may be had by reference to the embodiments thereof which are illustrated in the appended drawings.

It is noted, however, that the appended drawings illustrate only typical embodiments of this invention and are therefore not to be considered limiting of its scope, for the invention may admit to other equally effective embodiments.

Fig. 1 is an enlarged view of two loops of a double-helix stent according to the invention located within a blood vessel;

Fig. 2 is a sectional view of the stent of the invention depicting the substrate coating formed on the surface of the stent;

Fig. 3 is a sectional view of the stent of the invention depicting the substrate coating and encapsulated biologically active microspheres formed on the surface of the stent; and

Fig. 4 is a is an enhanced microscopic view of the stent substrate coating of the invention.

## DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT

5

10

15

20

25

30

Referring first to Fig. 1, the coated stent of the invention, generally identified by the reference numeral 10, is shown located in a blood vessel 12. The stent 10 is shown as having a double-helix wire configuration positioned in a blood vessel for illustrative purposes. It is understood that the stent 10 may comprise other configurations and be positioned in any organ requiring support to open a lumen or passageway without departing from the scope of the present invention. Referring still to Fig. 1, the stent 10 is located in the vessel 12 in the region where the vessel wall has collapsed and requires support to maintain the vessel 12 in an open condition. While it has been shown that stents have great therapeutic value, stents have suffered from unacceptable hemocompatability which typically results in restenosis of the vessel 12 which is much more difficult to treat.

Referring now the Fig. 2, stent 10 of the invention comprises a wire 14 which, as noted above, is configured in the shape of a double-helix. The wire 14 is coated with a substrate 16. The substrate 16 encapsulates the stent wire 14 and comprises, in the prefered embodiment, a 50:50 combination of PLLA/PCL which is swollen in 40% trifluoacetic acid with polyethylene oxide (PEO) to first open the pores of the substrate 16 for loading of microspheres or liposomes in microspherical geometries (according to Rajasubramanian, et al, Fabrication of Resorbable Microporous Intravascular Stents For Gene Therapy Applications, ASAIO Journal, 1994, M584-89). The acidic combination etches the skin surface and the pore walls of the substrate 16, rendering the polymer surfaces more hydrophilic. The etched pores 17 of the substrate 16 have an irregular pore surface and define a mean pore size of 150-200 nm long axis and 50-75 nm short axis. The porosity of the substrate 16 is in the range of 19% to 44%.

After swelling, the surface of the substrate 16 is coated with a slurry of PGEI-encapsulated liposomes in a polyethylene glycol (PEG) mix 18 having a neutral pH which first fills and then collapses the pores 17 of the substrate 16 about the embedded liposomes 20, as best shown in Fig. 4. The pores 17 are filled with liposomes 20 of 100 nm to 200 nm diameters, providing a mean average of about 1000 liposomal microspheres per pore. What remains then is a modified surface coating, producing biocompatable, cell-non-adhesive surface of PEG and lyophilized liposomal microspheres. PEG itself has been shown to have protein-repelling activity when immobilizing on a surface due to its hydrophilicity, chain mobility, and lack of ionic charge. Since PEG is water-soluble, platelet adhesion and thrombus formation is further limited

by a continuous semi-dissolved molecular disassociation which actually increases the hydrophilicity of the stent substrate 16, making surface adhesion on the stent 10 even more difficult.

After placement of the stent 10 in the vessel 12, the water-soluble surface polymers of PEG begin to dissolve thereby exposing the surface embedded liposomes 20. The liposomes 20 are exposed in stages; the first exposure being those on or close to the surface coating of the substrate 16. The liposomes 20 embedded within the pores 17 of the substrate 16 remain inactivated until both the PEG overlay mixture 18 and the portion of PLLA/PCL encompassing the closed pores 17 of the substrate 16 has been resorbed and thereby releasing the liposomes 20, a process that may continue over a period of time up to six months.

5

10

15

20

25

30

Once the outer coating of the substrate 16 is "dissolved" and upon activation, the liposomes 20 release their biologically active agent by leaking out the liposomes 20 into the vessel wall 12. In the preferred embodiment of the present invention, the active agent encapsulated within the liposomes 20 is prostaglandin E1 (PGE1), a natural-occurring fatty acid of the cyclopentenone family.

The release of the liposomal PGE1 produces a secondary effect that is both synergistic and antagonistic. It is synergistic with the PEG, in that the PEG tends to accumulate at the injured tissue and with long chain lengths further inhibits cellular interactions at the polymer surface, but in addition, the timed release of PEG1 produces powerful chronic antagonistic chemotaxis to thromboxane and leukotriene actions on the platelets and injured vessel wall while modulating the proliferation of smooth muscle cells (SMC) and extracellular matrix within the media of the blood vessel 12. This two-stage process continues to produce inhibition of protein absorption and hence cellular interactions at the biomaterial surface while releasing powerful inhibitions of platelet aggrandizement and modulators of cell growth in the region of the vessel wall 12 where the stent 10 is located. The protein inhibiting action of the biologically active agent continues over a predetermined period of weeks or months or until endothelialization of the biosurface is complete. Of particular note, the labile PEG end-groups on these modified surfaces can be made to serve as attachment sites for suitable biospecific peptides that result in a surface that could potentially adhere to only one particular cell type, such as endothelial cells in the case of stents or vascular grafts.

While a preferred embodiment of the invention has been shown and described, other and

further embodiments of the invention may be devised without departing from the basic scope thereof, and the scope thereof is determined by the claims which follow.

#### CLAIMS:

10

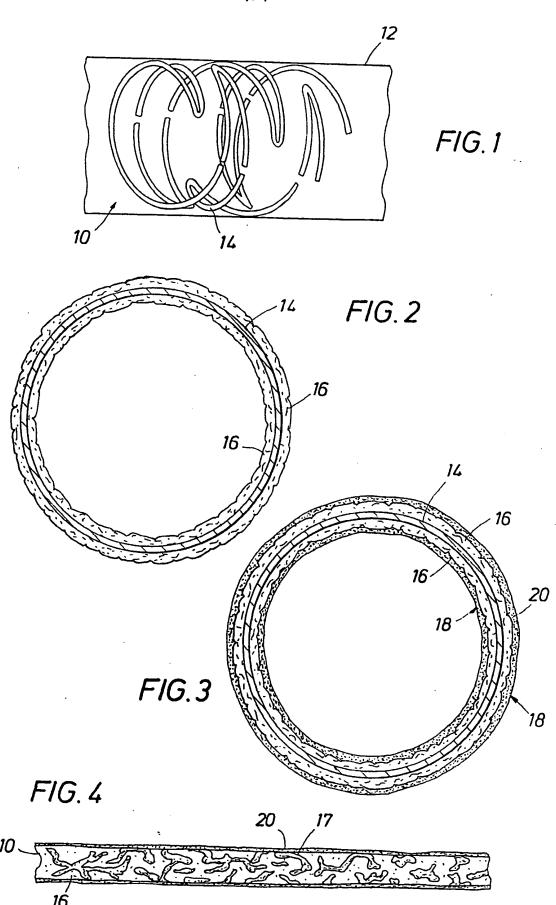
15

1. A stent for supporting a blood vessel, said stent having a hemocompatable substrate formed thereon, and further including a substrate coating embedded with biologically active microspheres.

- The stent of claim 1 wherein said substrate includes one or more layers of a resorbable PLLA/PLC combination, said combination providing a biologically compatible, structurally sufficient porous substrate surface for bonding with said substrate coating.
  - 3. The stent of claim 2 wherein said substrate coating comprises a water soluble polymer mixture such as polyethylene glycol, polyethylene oxide, polyvinylopyrrolidone, polyethylene oxazolene and other such water soluble polymers, said substrate coating including encapsulated liposomes.
  - 4. The stent of claim 1 wherein said substrate comprises Poly-L-lactic acid/Polycaprolactone and Polyethylene glycol/lyophilized prostaglandin E1 mixture, said prostaglandin being encapsulated in liposomal microspheres in a size range of 20nm to 1000nm.
  - 5. The stent of claim 2 wherein said substrate coating is water soluble and resorbable, producing a continuous and gradual release of liposomal prostagladin embedded in said substrate coating.
- 6. The stent of claim 1 wherein said substrate includes irregular surface pores capable of containing releaseable microspheres, said microspheres being liposomal prostaglandin E1.
  - 7. The stent of claim 6 wherein said pores are in the order of 105nm to 200nm long axis and 50nm to 75nm short axis.
- 8. The stent of claim 7 wherein said pores retain and release said microspheres at a predetermined rate.
  - 9. The stent of claim 1 wherein said substrate has a porosity of 18% to 45%.
  - 10. A method of modifying cellular response in a blood vessel or organ to a disease, injury or foreign body, comprising the steps of:
    - a) forming a hemocompatable substrate on a stent;
- b) embedding biologically active microspheres in a water soluble surface coating;
  - c) applying said surface coating on said stent substrate;

d) positioning said stent in the blood vessel or organ; and

- e) controllably releasing said microspheres into the blood vessel wall or target organ.
- The method of claim 10 including the step of swelling the substrate in 40% trifluoacetic acid with polyethylene oxide to open the pores of the substrate for loading the pores with liposomal microspheres.
  - 12. A vascular graft for supporting a lumen, said graft having a hemocompatable substrate formed thereon, and further including biologically active microspheres embedded in a substrate coating.
- 10 13. The vascular graft of claim 12 wherein said substrate coating is water soluble and resorbable, producing a continuous and gradual release of liposomal prostagladin embedded in said substrate coating.
  - 14. The vascular graft of claim 13 wherein said substrate coating includes liposomes containing prostaglandin E1.
- 15. The vascular graft of claim 14 wherein said liposomes are in the size range of 20nm to 200nm.



## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/02222

	101/03%	102222				
A. CL IPC(6)	ASSIFICATION OF SUBJECT MATTER					
US CL	:A61M 29/00 :606/194					
According	to International Patent Classification (IPC) or to both national classification and IPC					
B. FIE	LDS SEARCHED					
Minimum	documentation searched (classification system followed by classification symbols)					
U.S. :	424/450; 606/154, 194, 195, 198; 623/1					
Document	ation searched other than minimum documentation to the extent that such documents are inclu	ded in the C. L.				
		ded in the fields scarched				
Electerais						
ADO	data base consulted during the international search (name of data base and, where practical	able, search terms used)				
	erms: stent, hemocompatible, microspheres, resorbable					
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.				
X,P						
	US 5,674,242 A (PHAN et al) 07 October 1997, cols. 5-7, 15 and	d 1, 3, 10				
Y,P						
		2, 4-9				
Y	US 5,464,450 A (BUSCEMI et al) 01 November 1995, col. 5, lines	s 2, 4-9				
	11-37.	2, 4-9				
,	TIO 5 000 444					
	US 5,082,664 A (LENK et al) 21 January 1992, whole document.	4-9				
A,P						
	P US 5,624,411 A (TUCH) 29 April 1997, whole document.					
A,P	US 5,697,967 A (DINH et al) 16 December 1997, whole document.					
I	whole document.	1-15				
ļ						
- 1						
<del></del>						
Furthe	r documents are listed in the continuation of Box C. See patent family annex.					
	ial estegories of cited documents:	terrational Climate				
docu to be	ment defining the general state of the art which is not considered  date and not in conflict with the app the principle or theory underlying the					
earlie	er document published on or after the international filing date "X" document of particular relevance; the	o eleimed in and				
docu	ment which may throw doubts on priority claim(s) or which is to establish the publication date of another citation or other	ered to involve an inventive step				
speci	al reason (as specified)  Y  document of particular relevance: the	le claimed invention cannot be				
n ean	s combined with one or more other	step when the document is				
docus the re	nent published prior to the international filing date but there then	lise art				
	tual completion of the same paten	1				
	and the international se	arch report				
O APRIL I	998 J 1 MAY 1998					
me and ma	iling address of the ISA/US					
ommissionei ox PCT	or rate is and trademarks	0.				
/ashington, [ simile No.	O.C. 20231 (703) 305-3230					